



## San Fernando Valley Bar Association

### ATTORNEY REFERRAL SERVICE

#### SECURITIES AND COMMODITIES PANEL

#### Statement of Qualifications

Please check all areas that apply

- ☐ Securities and Commodities
- ☐ Representing Investors in Disputes with Brokers
- ☐ Representing Employees in Securities Industry Labor Disputes

I. Minimum Education and Experience Qualifications:

- ☐ **Disputes with Brokers:** Applicant must have represented clients in the preparation and presentation of at least five (5) matters before the appropriate arbitration forums or in litigation.
- ☐ **Security Industry Labor Disputes:** Applicant must have represented employees in the preparation and presentation of at least five (5) matters before the appropriate arbitration forums or in litigation.

II. Minimum Library Requirements:

Applicant must have access to annotated codes and case reporters for all relevant authorities.

III. Application for Special Consideration:

In lieu of, or in addition to, the above provisions, an attorney may make application to the LRIS Committee, in person or in writing, for consideration of the attorney's legal education, experience, and special qualifications for participation on the Securities and Commodities Law Panel.

I understand that the information contained herein is subject to reasonable verification and I agree to cooperate with the LRIS Committee and its designees in the process of evaluating my qualifications. I declare, under penalty of perjury that I have met the above criteria and requirements, and that I am competent to handle Securities and Commodities Law referral matters in the panel subject areas checked above.

Attorney Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_



**Attorney Referral Service**  
Securities and Commodities Law Experience Qualifications Statement

**Matter No. 1**

**Date of Completion:** \_\_\_\_\_

**Type of Matter & Description of Work:** \_\_\_\_\_

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**Court:** \_\_\_\_\_

**Result/Conclusion:** \_\_\_\_\_

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**Matter No. 2**

**Date of Completion:** \_\_\_\_\_

**Type of Matter & Description of Work:** \_\_\_\_\_

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**Court:** \_\_\_\_\_

**Result/Conclusion:** \_\_\_\_\_

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**Matter No. 3**

**Date of Completion:** \_\_\_\_\_

**Type of Matter & Description of Work:** \_\_\_\_\_

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**Court:** \_\_\_\_\_

**Result/Conclusion:** \_\_\_\_\_

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**Matter No. 4**

**Date of Completion:** \_\_\_\_\_

**Type of Matter & Description of Work:** \_\_\_\_\_

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**Court:** \_\_\_\_\_

**Result/Conclusion:** \_\_\_\_\_

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**Matter No. 5**

**Date of Completion:** \_\_\_\_\_

**Type of Matter & Description of Work:** \_\_\_\_\_

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**Court:** \_\_\_\_\_

**Result/Conclusion:** \_\_\_\_\_

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